

HAVERSTOCK & OWENS LLP

PATENT, TRADEMARK & COPYRIGHT ATTORNEYS

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TO:

Examiner Ram N. Kackar
USPTO - Art Unit 1763

Facsimile: (703) 872-9310

FROM:

Tom Haverstock
HAVERSTOCK & OWENS LLP
162 North Wolfe Road
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Telephone:(408) 530-9700
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Date: 10-23-03 Time: 1:00 a.m. p.m.

Pages (including cover): 15 Client Code: Patent S/N 09/912,844
Client code SSI-00501

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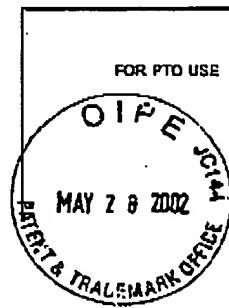
MESSAGE:

Serial No.: **09/912,844** H&O File No.: **SS1-00501** By: **TGH/JR**
 In the Matter of the Application of **Maximilian A. Bibberger, et al.**
 Date Mailed: **5-23-02** Due Date: **7-8-02**

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application	Pages	<input type="checkbox"/> Form PTO-1503 (Not of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter	<input checked="" type="checkbox"/> Duplicate
<input type="checkbox"/> Utility Patent Application	Pages	<input type="checkbox"/> Form PTOL-850 (Issue Fee Trans & dup. Ad)	<input type="checkbox"/> Certificate of Mailing	
<input type="checkbox"/> Prov. Patent Application	Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Express Mailing	
<input type="checkbox"/> Declaration/Oath		<input type="checkbox"/> Maintenance Fee Transmittal Form		
<input type="checkbox"/> Power of Attorney		<input type="checkbox"/> Reexamination Request		
<input type="checkbox"/> Assignment		<input type="checkbox"/> Notice of Appeal		
<input type="checkbox"/> Form PTO-1504/1505		<input type="checkbox"/> Affidavit/Declaration		
<input type="checkbox"/> Small Entity Declaration		<input type="checkbox"/> Copy of Filing Receipt		
<input type="checkbox"/> Drawings: _____	Sheet(s)	<input type="checkbox"/> Req. for Corr. of:		
<input type="checkbox"/> Formal	<input type="checkbox"/> Informal	<input type="checkbox"/> _____		
<input checked="" type="checkbox"/> Information Disclosure Statement				
<input type="checkbox"/> Form PTO-1449 and Copies of References Contained Therein				
<input checked="" type="checkbox"/> Information Disclosure Certification				
<input type="checkbox"/> Amendment/Response				
<input type="checkbox"/> Extension of Time Request: _____ Month(s)				
<input type="checkbox"/> Petition				

Label No.: _____
 Check(s): \$ _____
 Deposit Account Authorization Form



PATENT
Attorney Docket No.: SSI-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Group Art Unit: 2812
Maximilian A. Biberger, <i>et al.</i>	Examiner:
Serial No.: 09/912,844	<u>TRANSMITTAL LETTER</u>
Filed: July 24, 2001	162 N. Wolfe Road
For: HIGH PRESSURE PROCESSING	Sunnyvale, CA 94086
CHAMBER FOR	(408) 530-9700
SEMICONDUCTOR SUBSTRATE	

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5/22/02

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

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OCT 23 2003

CERTIFICATE OF MAILING (37 CFR § 1.8(h))

thereby certify that this paper (along with any
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mail in an envelope addressed to the Assistant
Commissioner for Patents, Washington D.C. 20231

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- 1 -

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Received from < > at 10/23/03 4:02:12 PM [Eastern Daylight Time] 2003

Attorney Docket No.: PATENT SSI-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2812
Maximilian A. Biberger *et al.*)
Serial No.: 09/912,844)
Filed: July 24, 2001)
For: **HIGH PRESSURE PROCESSING**)
CHAMBER FOR)
SEMICONDUCTOR SUBSTRATE)
)
Examiner:)
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

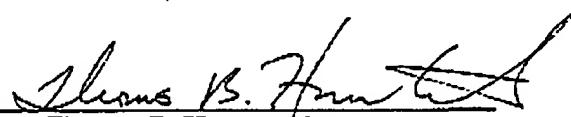
- U.S. Patent No. 5,882,165;
- U.S. Patent No. 5,928,389;
- U.S. Patent No. 5,979,306;
- U.S. Patent No. 6,067,728;
- U.S. Patent No. 6,110,232;
- U.S. Patent No. 6,149,828;
- U.S. Patent No. 6,186,722 B1;
- U.S. Patent No. 6,235,634 B1; and
- U.S. Patent No. 6,244,121 B1.

PATENT
Attorney Docket No.: SSI-00501

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-22-02

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 2 -

HAVERSTOCK & OWENS LLP.

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ATTORNEY DOCKET NO.: SSI-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2812
Maximilian A. Biberger, et al.) Examiner:
Serial No.: 09/912,844) CERTIFICATION FOR
Filed: July 24, 2001) SUPPLEMENTAL INFORMATION
For: HIGH PRESSURE PROCESSING) DISCLOSURE STATEMENT
CHAMBER FOR) UNDER 37 CFR § 1.97(e)
SEMICONDUCTOR SUBSTRATES)
162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Assistant Commissioner of Patents
Washington, D.C. 20231

Siri:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on April 3, 2002. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(c)(2).

PATENT
Attorney Docket No.: SST-00501

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-22-02

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

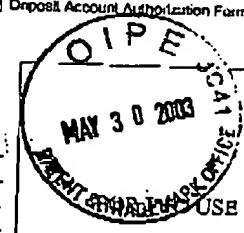
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HAVERSTOCK & OWENS LLP.

Received from < > at 10/23/03 4:02:12 PM [Eastern Daylight Time] 

Sheet 1 of 1

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00501	Serial No.: 09/912,844	
				Applicant: Maximilian B. Diberger et al.		
				Filing Date: July 24, 2001	Group Art Unit: 2812	
		U.S. PATENT DOCUMENTS				
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	5,882,165	03/16/99	Maydan et al.	414	217	09/10/97
AB	5,928,389	07/27/99	Jevtic	29	25.01	10/21/96
AC	5,979,306	11/09/99	Fujikawa et al.	100	90	03/25/98
AD	6,067,728	05/30/00	Farmer et al.	34	470	02/13/98
AE	6,110,232	08/29/00	Chen et al.	29	25.01	10/01/98
AF	6,149,828	11/21/00	Vaartsma	216	57	05/05/97
AG	6,186,722 B1	02/13/01	Shirai	414	217	02/24/98
AH	6,235,634 B1	05/22/01	White et al.	438	680	05/20/98
AI	6,244,121 B1	06/12/01	Hunter	73	865.9	03/06/98
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Examiner:			Date Considered:			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Serial No.: 09/1912,844	H&O File No.: 551-00501	By: 76A/F
In the Matter of The Application of: Maximilian A. B. Berger et al.		
Date Mailed: 5-27-03	Due Date: 5-28-03	
The following has been received in the U.S. Patent and Trademark Office on the date stamped herein:		
<input type="checkbox"/> Design Patent Application _____ Pages <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts) <input type="checkbox"/> Utility Patent Application _____ Pages <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup. <input type="checkbox"/> Prov. Patent Application _____ Pages <input type="checkbox"/> Form PTO-1050 (Cen. of Correction) <input type="checkbox"/> Declaration/Cath <input type="checkbox"/> Maintenance Fee Transmittal Form <input checked="" type="checkbox"/> Power of Attorney _____ <input type="checkbox"/> Remuneration Request <input type="checkbox"/> Assignment <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Form PTO-1594/1595 <input type="checkbox"/> Affidavit/Declaration <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Copy of Filing Receipt <input type="checkbox"/> Drawings: _____ Sheets(s) <input type="checkbox"/> Req. for Corr. of _____ <input type="checkbox"/> <input type="checkbox"/> Formal <input type="checkbox"/> Informal <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Request for Non-Publication <input type="checkbox"/> Form PTO-1449 and Copies of _____ <input type="checkbox"/> References Contained Therein <input type="checkbox"/> Rescission of Request for Non-Publication <input type="checkbox"/> Information Disclosure Certification <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Extension of Time Request: _____ Month(s) <input type="checkbox"/> Petition		
<input checked="" type="checkbox"/> Transmitted Letter <input type="checkbox"/> Duplicate <input type="checkbox"/> Certificate of Mailing <input type="checkbox"/> Certificate of Express Mailing <input type="checkbox"/> Label No.: _____ <input type="checkbox"/> Check(s): \$ _____ <input checked="" type="checkbox"/> Deposit Account Authorization Form		
		

PATENT
Attorney Docket No.: SSI-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
Maximilian A. Bibberger et al.) Examiner: Ram N. Kackar
Serial No.: 09/912,844)
Filed: July 24, 2001) **TRANSMITTAL LETTER**
For: **HIGH PRESSURE PROCESSING**) 162 N. Wolfe Road
 CHAMBER FOR) Sunnyvale, CA 94086
 SEMICONDUCTOR SUBSTRATE) (408) 530-9700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 CFR § 1.97(c) for Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5/23/63

By: Thomas B. Haverstock ~~Thomas B. Haverstock~~
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: SSL-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
Maximilian A. Biberger et-al.) Examiner: Ram N. Kackar
Serial No.: 09/912,844) **CERTIFICATION FOR**
Filed: July 24, 2001) **SUPPLEMENTAL INFORMATION**
For: **HIGH PRESSURE PROCESSING**) **DISCLOSURE STATEMENT UNDER 37**
CHAMBER FOR) **CFR § 1.97(e)**
SEMICONDUCTOR SUBSTRATE) 162 North Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on February 28, 2003. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

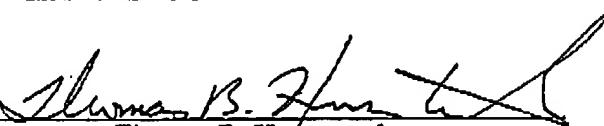
PATENT
Attorney Docket No.: SSI-00501

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

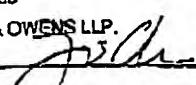
Dated: 5-23-03

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 2 -

HAVERSTOCK & OWENS LLP.
Date: 5/27/03 By: 

ATTORNEY DOCKET NO.: SSI-00501

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
Maximilian A. Biberger et al.) Examiner: Ram N. Kackar
Serial No.: 09/912,844) **INFORMATION DISCLOSURE**
Filed: July 24, 2001) **STATEMENT**
For: **HIGH PRESSURE PROCESSING**) 162 N. Wolfe Road
 CHAMBER FOR) Sunnyvale, CA 94086
 SEMICONDUCTOR SUBSTRATE) (408) 530-9700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Siti

The citation listed below, copy attached, may be material to the examination of the above-identified application, and is therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

• U.S. Patent No. 6,319,858 B1

PATENT
Attorney Docket No.: SSI-00501

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 5-23-03

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

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CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP
Date: 5/27/03 By: Jill C.

Sheet 1 of 1

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00501	Serial No.: 09/912,844		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Maximilian A. Biberger et al.			
				Filing Date: July 24, 2001		Group Art Unit: 1763	
U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,319,858 B1	11/20/01	Lee et al.	438	787	07/11/00
	AB						
	AC						
	AD						
	AE						
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	AJ						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
							Yes
	AK						
	AL						
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	AN						
	AO						
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
	AP						
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	AW						
	AX						
	AY						
	AZ						
Examiner:				Date Considered:			
EXAMINER:		Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					